

(I)(a) Statement showing Shareholding Pattern

Name of the Company : Hercules Hoists Limited

Scrip Code, Name of the scrip, class of security : HERCULES

As on Date : 30-Sep-2015

Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	0		

(I)(a) Statement showing Shareholding Pattern

Sr. no.	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage (IX) = (VIII) / (IV) * 100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/Hindu Undivided Family	10	8324720	8324720	26.01	26.01	0	0.00
(b)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0
(c)	Bodies Corporate	5	13906000	13906000	43.46	43.46	0	0.00
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00	0	0
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0
	Sub-Total (A)(1)	15	22230720	22230720	69.47	69.47	0	0.00
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(c)	Institutions	0	0	0	0.00	0.00	0	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (A)(2)	0	0	0	0.00	0.00	0	0.00

	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	15	22230720	22230720	69.47	69.47	0	0.00
(B) Public shareholding³								
(1) Institutions								
(a)	Mutual Funds/UTI	1	500000	500000	1.56	1.56	N.A.	N.A.
(b)	Financial Institutions/Banks	2	7466	7466	0.02	0.02	N.A.	N.A.
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	N.A.	N.A.
(d)	Venture Capital Funds	0	0	0	0.00	0.00	N.A.	N.A.
(e)	Insurance Companies	0	0	0	0.00	0.00	N.A.	N.A.
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	N.A.	N.A.
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	N.A.	N.A.
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.
(i)	Any Other (Total)	0	0	0	0.00	0.00	N.A.	N.A.
	Sub-Total (B)(1)	3	507466	507466	1.59	1.59	N.A.	N.A.
(2) Non-institutions								
(a)	Bodies Corporate	166	365495	365495	1.14	1.14	N.A.	N.A.
(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.	7686	3743558	3547134	11.70	11.70	N.A.	N.A.
	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	5	2539904	1763424	7.94	7.94	N.A.	N.A.

(c)	Qualified Foreign Investor	0	0	0	0	0	N.A.	N.A.
(d)	Any Other (Total)	157	2612857	1012857	8.17	8.17	N.A.	N.A.
(d1)	Clearing Members	78	62490	62490	0.20	0.20	N.A.	N.A.
(d2)	Directors & their relatives	4	887400	887400	2.77	2.77	N.A.	N.A.
(d3)	NRI/OCB	73	1637653	37653	5.12	5.12	N.A.	N.A.
(d4)	Trusts	2	25314	25314	0.08	0.08	N.A.	N.A.
	Sub-Total (B)(2)	8014	9261814	6688910	28.94	28.94	N.A.	N.A.
	Total Public Shareholding (B)= (B)(1)+(B)(2)	8017	9769280	7196376	30.53	30.53	N.A.	N.A.
	TOTAL (A)+(B)	8032	32000000	29427096	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	N.A.	0.00	N.A.	N.A.
C1	Promoter and Promoter Group	0	0	0	N.A.	0.00	N.A.	N.A.
C2	Public	0	0	0	N.A.	0.00	N.A.	N.A.
	GRAND TOTAL (A)+(B)+(C)	8032	32000000	29427096	N.A.	100.00	0	0.00

¹For determining public shareholding for the purpose of Clause 40A.

²For definitions of "Promoter" and "Promoter Group", refer to Clause 40A.

³For definitions of "Public Shareholding", refer to Clause 40A.

(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No. (I)	Name of the shareholder (II)	PAN of the Shareholder (III)	Total Shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XIII)
			Number (IV)	as a % of grand total (A) + (B) + (C) (V)	Number (VI)	as a percentage (VII) = (VI) / (IV)*100	as a % of grand total (A) + (B) + (C) of sub-clause (I)(a) (VIII)	Number of warrants held (XI)	As a % total number of warrants of the same class (X)	Number of convertible securities held (XI)	As a % total number of convertible securities of the same class (XII)	
1	ANANT BAJAJ	ABUPB2988F	1664000	5.20	0	0.00	0.00	0	0.00	0	0.00	0.00
2	KIRAN BAJAJ	ACJPB6307N	580000	1.81	0	0.00	0.00	0	0.00	0	0.00	0.00
3	MADHUR BAJAJ	ACJPB6301L	440000	1.38	0	0.00	0.00	0	0.00	0	0.00	0.00
4	NIRAJ BAJAJ	ACJPB6302K	928000	2.90	0	0.00	0.00	0	0.00	0	0.00	0.00
5	NIRAJ BAJAJ TRUST	AAATN1019L	552000	1.73	0	0.00	0.00	0	0.00	0	0.00	0.00
6	RAHULKUMAR BAJAJ	AABPB4242J	14640	0.05	0	0.00	0.00	0	0.00	0	0.00	0.00
7	SANJIVNAYAN BAJAJ	ACJPB6306P	2400	0.01	0	0.00	0.00	0	0.00	0	0.00	0.00
8	SHEKHAR BAJAJ	ACJPB6315N	1239200	3.87	0	0.00	0.00	0	0.00	0	0.00	0.00

9	SUNAINA KEJRIWAL	ADWPK2432P	1990480	6.22	0	0.00	0.00	0	0.00	0	0.00	0.00
10	BACHHRAJ FACTORIES PVT. LIMITED	AAACB4654N	1235280	3.86	0	0.00	0.00	0	0.00	0	0.00	0.00
11	BAJAJ HOLDINGS & INVESTMENT LTD	AAACB3370K	6251040	19.53	0	0.00	0.00	0	0.00	0	0.00	0.00
12	BAJAJ SEVASHRAM PVT LTD	AADCB2301D	1868000	5.84	0	0.00	0.00	0	0.00	0	0.00	0.00
13	JAMNALAL SONS PRIVATE LIMITED	AAACJ3176H	4151680	12.97	0	0.00	0.00	0	0.00	0	0.00	0.00
14	SHEKHAR HOLDINGS PVT LTD	AAECS5871J	400000	1.25	0	0.00	0.00	0	0.00	0	0.00	0.00
15	KUMUD BAJAJ	ACJPB6304R	914000	2.86	0	0.00	0.00	0	0.00	0	0.00	0.00
	TOTAL		22230720	69.47	0	0.00	0.00	0	0.00	0	0.00	0.00

D)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full
					Number of warrants held	As a % total number of warrants	Number of convertible securities held	% w.r.t total number of convertible securities	

				(A)+(B)+(C) indicated in Statement at para (I)(a) above}		of the same class		of the same class	conversion of warrants and convertible securities) as a % of diluted
1	Pramodkumar S Nevatia		776480	2.43	0	0.00	0	0.00	0
2	Heinrich De Fries Gmbh		1600000	5.00	0	0.00	0	0.00	0
3	Nirmal P Jhunjhunwala	AABPJ0678E	1194000	3.73	0	0.00	0	0.00	0
4	IDFC EQUITY OPPORTUNI TY SERIES 1	AAETS9556K	500000	1.56	0	0.00	0	0.00	0
5	Kishorilal F Jhunjhunwala	AABPJ3977G	880000	2.75	0	0.00	0	0.00	0
6	Bishwanath Prasad Agrawal	ADWPA3163D	324700	1.01	0	0.00	0	0.00	0
	TOTAL		5275180	16.48	0	0.00	0	0.00	0

I(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of shares	Shares as a percentage	Details of warrants	Details of convertible securities	Total shares (including
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				of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted
1	Heinrich De Fries Gmbh		1600000	5.00	0	0.00	0	0.00	0.00
	TOTAL		1600000	5.00	0	0.00	0	0.00	0.00

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	PAN of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil		0	0.00
	TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand
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				Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	TOTAL	0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
		TOTAL	0	0.00

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Sr. no.	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(I)	(II)				(VI)		
(A)	Promoter and Promoter Group²						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family	0	0	0	0	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0	0.00	0.00
(c)	Bodies Corporate	0	0	0	0	0.00	0.00
(d)	Financial Institutions/Banks	0	0	0	0	0.00	0.00

(e)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (A)(1)	0	0	0	0	0.00	0.00
(2) Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0.00	0.00
(b)	Bodies Corporate	0	0	0	0	0.00	0.00
(c)	Institutions	0	0	0	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (A)(2)	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0.00	0.00
(B) Public shareholding							
(1) Institutions							
(a)	Mutual Funds/UTI	0	0	0	0	0.00	0.00
(b)	Financial Institutions/Banks	0	0	0	0	0.00	0.00
(c)	Central Government/State Government(s)	0	0	0	0	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0	0.00	0.00
(e)	Insurance Companies	0	0	0	0	0.00	0.00
(f)	Foreign Institutional Investors	0	0	0	0	0.00	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0	0.00	0.00
(h)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (B)(1)	0	0	0	0	0.00	0.00
(2) Non-institutions							
(a)	Bodies Corporate	0	0	0	0	0.00	0.00

(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.	0	0	0	0	0.00	0.00
	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	0	0	0	0	0.00	0.00
(c)	Qualified Foreign Investor	0	0	0	0	0.00	0.00
(d)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (B)(2)	0	0	0	0	0.00	0.00
	Total Public Shareholding (B)= (B)(1)+(B)(2)	0	0	0	0	0.00	0.00
	TOTAL (A)+(B)	0	0	0	0	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0.00	0.00
C1	Promoter and Promoter Group	0	0	0	0	0	0
C2	Public	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	0	0	0	0	0.00	0.00