#### (I)(a) Statement showing Shareholding Pattern

## Name of the Company : Hercules Hoists Limited Scrip Code, Name of the scrip, class of security : HERCULES As on Date : 30-Sep-2014

Partly paid-up shares	· · ·	As a % of total no. of partly paid-up Shares	As a % of total no. of shares of the company	
Held by promoter/promoter group	0		0	0
Held by public	0		0	0
Total	0		0	0
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities	
Held by promoter/promoter group	0		0	0
Held by public	0		0	0
Total	0		0	0
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants	
Held by promoter/promoter group	0		0	0
Held by public	0		0	0
Total	0		0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	0			

#### (I)(a) Statement showing Shareholding Pattern

Sr. no.	Category of shareholder	Number of shareholders	Total number of	Number of shares held in dematerialized form	percentage of	holding as a f total number hares	Shares Pledged or otherwise encumbered		
(I)	(II)	(III)	shares (IV)	(V)	As a percentage of (A+B) <sup>1</sup> (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII) / (IV) * 100	
	Promoter and Promoter Group <sup>2</sup>								
(1)	Indian								
(a)	Individuals/Hindu Undivided Family	15	8342730	8342730	26.07	26.07	0	0.00	
(h)	Central Government/State Government(s)	0	0	0	0.00	0.00	0	0	
(c)	Bodies Corporate	5	13906000	13906000	43.46	43.46	0	0.00	
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00	0	0	
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0	
	Sub-Total (A)(1)	20	22248730	22248730	69.53	69.53	0	0.00	
(2)	Foreign	]							
(9)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	
(b)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(c)	Institutions	0	0	0	0.00	0.00	0	0.00	
(d)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(e)	Any Other (Total)	0	0	0	0.00	0.00	0	0.00	
	Sub-Total (A)(2)	0	0	0	0.00	0.00	0	0.00	
	Total Shareholding of Promoter and Promoter Group	20	22248730	22248730	69.53	69.53	0	0.00	

	(A) = (A)(1) + (A)(2)							
<b>(B)</b>	Public shareholding <sup>3</sup>							
(1)	Institutions							
(a)	Mutual Funds/UTI	1	144000	144000	0.45	0.45	N.A.	N.A.
(b)	Financial Institutions/Banks	2	44173	44173	0.14	0.14	N.A.	N.A.
(c)	Central Government/State Government(s)	0	0	0	0.00	0.00	N.A.	N.A.
(d)	Venture Capital Funds	0	0	0	0.00	0.00	N.A.	N.A.
(e)	Insurance Companies	0	0	0	0.00	0.00	N.A.	N.A.
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	N.A.	N.A.
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	N.A.	N.A.
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.
(i)	Any Other (Total)	0	0	0	0.00	0.00	N.A.	N.A.
	Sub-Total (B)(1)	3	188173	188173	0.59	0.59	N.A.	N.A.
(2)	Non-institutions							
(a)	Bodies Corporate	206	373619	373619	1.17	1.17	N.A.	N.A.
(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.	8629	3969752	3702262	12.41	12.41	N.A.	N.A.
	Individuals - ii. Individual Shareholders Holding Nominal Share Capital In Excess Of Rs. 1 Lakh	5	2422704	1646224	7.57	7.57	N.A.	N.A.
	Qualified Foreign Investor	0	0	0	0.00	0.00	N.A.	N.A.
(d)	Any Other (Total)	193	2797022	1197022	8.74	8.74	N.A.	N.A.

(d1)	Clearing members	108	241529	241529	0.75	0.75	N.A.	N.A.
(d2)	Directors & Relatives	4	887400	887400	2.77	2.77	N.A.	N.A.
(d3)	NRI/OCBs	79	1642779	42779	5.13	5.13	N.A.	N.A.
(d4)	Trusts	2	25314	25314	0.08	0.08	N.A.	N.A.
	Sub-Total (B)(2)	9033	9563097	6919127	29.88	29.88	N.A.	N.A.
	Total Public Shareholding (B)= (B)(1)+(B)(2)	9036	9751270	7107300	30.47	30.47	N.A.	N.A.
	TOTAL (A)+(B)	9056	32000000	29356030	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	N.A.	0.00	N.A.	N.A.
C1	Promoter and Promoter Group	0	0	0	N.A.	0.00	N.A.	N.A.
C2	Public	0	0	0	N.A.	0.00	N.A.	N.A.
	GRAND TOTAL (A)+(B)+(C)	9056	32000000	29356030	N.A.	100.00	0	0.00

<sup>1</sup>For determining public shareholding for the purpose of Clause 40A.

<sup>2</sup>For definitions of "Promoter" and "Promoter Group", refer to Clause 40A.

<sup>3</sup>For definitions of "Public Shareholding", refer to Clause 40A.

(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	PAN of the Shareholder	Total Sl held			Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities	
<b>(I</b> )	<b>(II)</b>	(III)	Number	as a % of	Number	as a percentage		Number of		Number of convertible		underlying shares

			( <b>IV</b> )	grand total (A) + (B) + (C) (V)	(VI)	(VII) = (VI) / (IV)*100	grand total (A) + (B) + (C) of sub- clause (I)(a) (VIII)	warrants held (XI)	number of warrants of the same class (X)	securities held (XI)	number of convertible securities of the same class (XII)	assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XIII)
1	ANANT BAJAJ	ABUPB2988F	1664000	5.20	0	0.00	0.00	0	0.00	0	0.00	5.20
2	KIRAN BAJAJ	ACJPB6307N	580000	1.81	0	0.00	0.00	0	0.00	0	0.00	1.81
3	KUMUD BAJAJ	ACJPB6304R	914000	2.86	0	0.00	0.00	0	0.00	0	0.00	2.86
4	MADHUR BAJAJ	ACJPB6301L	440000	1.38	0	0.00	0.00	0	0.00	0	0.00	1.38
5	NIRAJ BAJAJ	ACJPB6302K	928000	2.90	0	0.00	0.00	0	0.00	0	0.00	2.90
6	NIRAJ BAJAJ TRUST	AAATN1019L	552000	1.73	0	0.00	0.00	0	0.00	0	0.00	1.73
7	RAHULKUMAR BAJAJ	AABPB4242J	14640	0.05	0	0.00	0.00	0	0.00	0	0.00	0.05
8	SANJIVNAYAN BAJAJ	ACJPB6306P	2400	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
9	SHEKHAR BAJAJ	ACJPB6315N	740000	2.31	0	0.00	0.00	0	0.00	0	0.00	2.31
10	SHEKHAR BAJAJ	ACJPB6315N	4830	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02
11	SHEKHAR BAJAJ	ACJPB6315N	5308	0.02	0	0.00	0.00	0	0.00	0	0.00	0.02

12	SHEKHAR BAJAJ	ACJPB6315N	3720	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
13	SHEKHAR BAJAJ	ACJPB6315N	4152	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
14	SHEKHAR BAJAJ-HUF	AACHS1910H	499200	1.56	0	0.00	0.00	0	0.00	0	0.00	1.56
15	SUNAINA KEJRIWAL	ADWPK2432P	1990480	6.22	0	0.00	0.00	0	0.00	0	0.00	6.22
16	BACHHRAJ FACTORIES PVT. LIMITED	AAACB4654N	1235280	3.86	0	0.00	0.00	0	0.00	0	0.00	3.86
17	BAJAJ HOLDINGS & INVESTMENT LTD	AAACB3370K	6251040	19.53	0	0.00	0.00	0	0.00	0	0.00	19.53
18	BAJAJ SEVASHRAM PVT LTD	AADCB2301D	1868000	5.84	0	0.00	0.00	0	0.00	0	0.00	5.84
19	JAMNALAL SONS PRIVATE LIMITED	AAACJ3176H	4151680	12.97	0	0.00	0.00	0	0.00	0	0.00	12.97
20	SHEKHAR HOLDINGS PVT LTD	AAECS5871J	400000	1.25	0	0.00	0.00	0	0.00	0	0.00	1.25
	TOTAL		22248730	69.53	0	0.00	0.00	0	0.00	0	0.00	69.54

**I**)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

					Shares as a percentage	Details o	f warrants		convertible rities	(including underlying shares assuming full conversion of warrants and	
Sr. No.			PAN of the Shareholder	Number of shares	of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	warrants		Number of convertible securities held	convertible securities of the same		
	1	Kishorilal F Jhunjhunwala	AABPJ3977G	880000	2.75	0	0	0	0	2.75	
	2	Nirmal P Jhunjhunwala	AABPJ0678E	1194000	3.73	0	0	0	0	3.73	
	3	Pramodkumar S Nevatia		776480	2.43	0	0	0	0	2.43	
	4	Heinrich De Fries Gmbh		1600000	5.00	0	0	0	0	5	
		TOTAL		4450480	13.91	0	0.00	0	0.00	13.91	

<u>I)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 5% of the total number of shares</u>

Sr.	Name of the	PAN of the	Number of	Shares as a percentage of	Details	Details of warrants		convertible rities	Total shares (including
No.	shareholder	Shareholder		total number of shares {i.e.,	Number of	As a % total number of		% w.r.t total number of	underlying shares assuming

			Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	warrants held	warrants of the same class	securities held	convertible securities of the same class	full conversion of warrants and convertible securities) as a % of diluted
1	Heinrich De Fries Gmbh	1600000	5.00	0	0.00	0	0.00	5.00
	TOTAL	1600000	5.00	0	0.00	0	0.00	5.00

#### (I)(d) Statement showing details of locked-in shares

Sr. No.		PAN of the Shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	l Nil		0	0.00
	TOTAL		0	0.00

### (II)(a) Statement showing details of Depository Receipts (DRs)

Sı N	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	TOTAL	0	0	0.00

# (II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares

Sı Ne	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
		TOTAL	0	0.00

#### (III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Sr. no.	Category of shareholder	Number of Voting Rights held in each class of securities		Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)		
(I)	(II)	Class X (III)	Class Y (IV)	Class Z (V)	(VI)	As a percentage of (A+B) (VII)	As a percentage of (A+B+C) (VIII)
(A)	Promoter and Promoter Group <sup>2</sup>						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family	0	0	0	0	0.00	0.00
(b)	Central Government/State Government(s)	0	0	0	0	0.00	0.00
(c)	Bodies Corporate	0	0	0	0	0.00	0.00
(d)	Financial Institutions/Banks	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (A)(1)	0	0	0	0	0.00	0.00
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/Foreign	0	0	0	0	0.00	0.00

	Individuals)						
(b)	Bodies Corporate	0	0	0	0	0.00	0.00
· /	Institutions	0	0	0	0	0.00	0.00
	Qualified Foreign Investor	0	0	0	0	0.00	0.00
(e)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (A)(2)	0	0	0	0	0.00	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0	0.00	0.00
-	Public shareholding						
( )	Institutions						
(a)	Mutual Funds/UTI	0	0		0	0.00	
. ,	Financial Institutions/Banks	0	0		0	0.00	
(c)	Central Government/State Government(s)	0	0	0	0	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0	0.00	0.00
(e)	Insurance Companies	0	0	0	0	0.00	0.00
(f)	Foreign Institutional Investors	0	0	0	0	0.00	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0	0.00	0.00
(h)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (B)(1)	0	0	0	0	0.00	0.00
(2)	Non-institutions	<u>.</u>					
(a)	Bodies Corporate	0	0	0	0	0.00	0.00
(b)	Individuals - i. Individual Shareholders Holding Nominal Share Capital Up To >Rs. 1 Lakh.	0	0	0	0	0.00	0.00
	Individuals - ii. Individual Shareholders Holding	0	0	0	0	0.00	0.00

	Nominal Share Capital In Excess Of Rs. 1 Lakh						
(c)	Qualified Foreign Investor	0	0	0	0	0.00	0.00
(d)	Any Other (Total)	0	0	0	0	0.00	0.00
	Sub-Total (B)(2)	0	0	0	0	0.00	0.00
	Total Public Shareholding (B)= (B)(1)+(B)(2)	0	0	0	0	0.00	0.00
	TOTAL (A)+(B)	0	0	0	0	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0	0.00	0.00
C1	Promoter and Promoter Group	0	0	0	0	0	0
C2	Public	0	0	0	0	0	0
	GRAND TOTAL (A)+(B)+(C)	0	0	0	0	0.00	0.00